

FINRA RULE 2210 REQUIRES LINK TO BROKERCHECK ON FIRM WEBSITES BY JUNE 6, 2016

(04/07/16) All FINRA member firms are required to include a readily apparent reference and hyperlink to BrokerCheck on their firm websites by June 6, 2016. This is due to an SEC-approved amendment to FINRA Rule 2210. This reference and hyperlink to BrokerCheck is required on any website intended for retail investors and any other website that includes a professional profile of one or more registered persons who conduct business with retail investors.

The BrokerCheck link to be included on your applicable firm websites is <http://brokercheck.finra.org/>.

Questions?

If you have questions, please contact **Michael Heiser** at (612) 371-2363.

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